

November 29, 2016

**MEMORANDUM**

**TO:** University Senate Members

**FROM:** Jordan A. Goodman  
Chair of the University Senate

**SUBJECT:** University Senate Meeting on Tuesday, December 6, 2016

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The next meeting of the University Senate will be held on **Tuesday, December 6, 2016**. The meeting will convene at 3:15 p.m. in the **Colony Ballroom of the Stamp Student Union (2<sup>nd</sup> Floor)**. If you are unable to attend, please contact the Senate Office<sup>1</sup> by calling 301-405-5805 or sending an email to [senate-admin@umd.edu](mailto:senate-admin@umd.edu) for an excused absence. Your response will assure an accurate quorum count for the meeting.

**The meeting materials can be accessed on the Senate Web site. Please go to <http://www.senate.umd.edu/meetings/materials/> and click on the date of the meeting.**

**Meeting Agenda**

1. Call to Order
2. Approval of the November 2, 2016 Senate Minutes (Action)
3. Report of the Chair
4. Special Order of the Day  
Wallace D. Loh  
President of the University of Maryland  
*2016 State of the Campus Address*
5. PCC Proposal to Establish a Post-Baccalaureate Certificate in Nonprofit Management and Leadership (Senate Doc. No. 16-17-21) (Action)
6. Nominations Committee Slate 2016 – 2017 (Senate Doc. No. 16-17-20) (Action)
7. Special Order of the Day  
Philip DeShong  
Professor, Dept. of Chemistry & Biochemistry and Chair of the Conflict of Interest Committee  
*An Overview of Conflict of Interest Issues*
8. New Business
9. Adjournment

## **University Senate**

November 2, 2016

### **Members Present**

Members present at the meeting: 114

### **Call to Order**

Senate Chair Goodman called the meeting to order at 3:18 p.m.

### **Approval of the Minutes**

Chair Goodman asked for additions or corrections to the minutes of the October 6, 2016, Senate meeting; hearing none, he declared the minutes approved as distributed.

### **Report of the Chair**

#### BOR Staff Awards

The Staff Affairs Committee is currently accepting nominations for the prestigious Board of Regents Staff Awards. These annual awards are the highest System-wide recognition of the exceptional work done by staff members across the University System of Maryland. Awardees receive a \$2,000 stipend and formal recognition by the Board of Regents and the University Senate.

Exempt and non-exempt staff who have been with the University for at least 5 years are eligible to be nominated in one of four categories. There are coaches available to help nominators gather the necessary materials, and any member of the university community, including students, can nominate an eligible staff member.

Nomination packages must be submitted to the Senate Office by **Friday, November 18<sup>th</sup>**. Detailed instructions can be found on the Senate website.

#### Current Senators

The current senators page on the Senate website now has pictures of the majority of our senators. It also has a search feature that allows multiple attributes like "CMNS undergraduate" or "2017" faculty in addition to searching for specific senators.

#### Non-Voting Ex Officios on Slack

We are now expanding Slack to include any non-voting ex officios that ask to be added. This includes any department chairs, vice presidents, and directors of centers and institutes, among others. Please send an email to the Senate Office if you would like to be included on the Senate Slack site.

### Joint President/Senate Sexual Assault Prevention Task Force

Goodman noted that a Joint President/Senate Sexual Assault Prevention Task Force had been formed and was officially charged on October 10<sup>th</sup>. The task force will be working throughout the academic year and will solicit feedback from the campus community during the course of its review. The task force has scheduled an open forum on November 17, 2016, from 3:30-5 pm in the Prince George's Room of the Stamp Student Union to get preliminary input from the campus community. We will keep you informed of any additional opportunities to provide input.

### Next Meeting

The next meeting will be held on Tuesday, December 6, 2016. President Loh will be presenting his State of the Campus Address. This meeting will be held in the Colony Ballroom of the Union to accommodate the larger audience that is anticipated. *Please note that we will not have a Presidential Briefing at this meeting since he will already provide an entire presentation. We will reconvene the briefings in the spring.*

### Restricted Research Town Hall

We had originally planned for President Loh's State of the Campus Address at today's meeting. Because we already had a large space and a limited agenda, we offered some of our time to the Restricted Research Subcommittee headed by Keith Marzullo. As you may have seen, they will be holding a campus-wide town hall regarding restricted research immediately after Senate meeting is adjourned today. While Senate procedures govern our meeting, the town hall will be open to all members of the campus community who wish to provide feedback on restricted research. I encourage you to stay and contribute to the discussion.

**Special Order of the Day**  
**David Allen**  
**DOTS Executive Director**  
***Parking Outlook***

David Allen, Executive Director of the Department of Transportation Services (DOTS) provided a presentation regarding the changes in parking that are occurring due to campus construction.

Goodman opened the floor to questions.

Goodman asked about the impact on football parking with Lot 1 disappearing.

Allen responded that they are expecting more people to take the Metro, that there will be less parking taken up by students on the weekends, since freshman and sophomore resident students will not be able to park on campus starting in Fall 2017 and there are few classes on the weekends. He added that visitors will not be able to park in some of the spaces they used to park in.

Senator DiLutis, faculty, College of Arts and Humanities, asked if the number of scooter lots would increase or if there would be an increase in bicycle parking.

Allen responded that most scooters on campus are driven by students using them to get around campus and not commuting to and from campus. He noted that scooters can park in motorcycle spaces, which would allow for four to five scooters or motorcycles in the space of one car. He added that UMD is a top-tier institution when it comes to bikes on campus. He explained that there is a full-time bike coordinator and a special committee related to biking on campus that is looking at adding bike parking at McKeldin Library.

Senator Frank, faculty, University Libraries, asked if electric vehicle charging stations would be affected by the decrease in parking spaces.

Allen explained that the electric vehicle spaces in the Stamp Student Union garage would remain and they would be adding four more spaces in the near future when they put solar panels on the parking garages. He added that UMD has more charging stations on campus than any other campus.

Senator Pound, faculty, College of Computer, Mathematical, and Natural Sciences, asked about partnering with Uber or Lyft to incentivize ridesharing and not bringing cars to campus.

Allen said that using UberPool or LyftLine would be a possibility for our campus and explained that DOTS has had meetings with Uber and Lyft to negotiate such an arrangement.

Senator Williams, undergraduate student, Philip Merrill College of Journalism, asked if the policy prohibiting freshman and sophomore students from parking on campus would be the same for in-state and out-of-state students.

Allen responded that there ultimately would not be space to accommodate freshman and sophomore resident students. He added that DOTS would conduct peer institution research to figure out what the policies and procedures are at other institutions. He mentioned alternatives such as software that allows students to match themselves with others in their area to share rides and the bus service that DOTS provides at Thanksgiving and the end of the year to common locations. He acknowledged that it would be important to let people know of the changes well in advance.

Senator Williams asked if freshman and sophomores would get parking in the new garage.

Allen responded that it is possible, but the garage is still several years away from being built.

Senator Grims, exempt staff, noted that patrons of The Clarice rely on Lot 1 for free parking and added that The Clarice holds big events that are not in the evening hours when daytime parkers have left campus.

Allen responded that there are other options for paid parking and noted that it might be beneficial to have daytime events in the late afternoon when people may have left for the day.

Senator Grims asked if there was any possibility of increased shuttle service.

Allen responded that it would be difficult because shuttle service is paid by student fees and they cannot increase shuttle service without increasing student fees, which is up to students to approve.

Senator Orel, faculty, College of Arts and Humanities, asked if there would be enough parking to accommodate evening classes. She noted that having to park farther away may lead to safety and accessibility issues.

Allen noted that parking at 5:00 p.m. would be easier than at 12:00 p.m. and stated that he does not anticipate problems at 5:00 p.m. He explained that Facilities Management would meet with people affected by construction projects to put a plan in place regarding loading docks and disabled parking accommodations.

Senator Williams, entry-level professional track faculty, asked if there is a possibility for satellite parking, such as at the Research Park, with a reduced fee and a shuttle to campus.

Allen stated that this solution would be easier than building a parking garage and noted that this would be a possibility for the future.

Senator Douek, undergraduate student, College of Arts and Humanities, asked what if people, faculty and staff specifically, cannot afford the parking fee after the increase.

Allen explained that the parking fee for employees is tiered based on salary, so there should not be any problem with employees affording the parking fee increase.

Senator Hurtt, faculty, School of Architecture, Planning, and Preservation, asked how this fits into the environmental and housing situation. He noted that the increase in housing nearby may decrease the amount of people needing to park on campus. He acknowledged the need for more garages and a need for an increase in parking fees to pay for this.

Goodman thanked Allen for his presentation.

**Special Order of the Day**  
**Catherine Carroll**  
**Director & Title IX Officer, Office of Civil Rights & Sexual Misconduct**  
***Title IX Update***

Catherine Carroll, Director & Title IX Officer, Office of Civil Rights & Sexual Misconduct (OCRSM), continued her presentation from the October 6, 2016, Senate meeting and explained the results of the 2016 UMD Sexual Assault Climate Survey.

Goodman opened the floor to questions.

Dr. Priest, faculty, Philip Merrill College of Journalism, asked if the numbers from the survey reflect the numbers of reports to school authorities.

Carroll said that the number of people who said they had experienced sexual assault in the survey is higher than the number of people that reported assaults, which is consistent with the national trends of sexual assault being one of the most underreported crimes. She also noted that the perpetrators of sexual assault many times are not members of the campus community and that her office is also involved in supporting students even when there is nothing they can do to charge the assailant. She added that in more than half the reports, the students do not want an investigation.

Senator Cleaveland, faculty, College of Computer, Mathematical, and Natural Sciences, asked about the “percent responded correctly” statistic and what the number of incorrect responses says about the educational programs.

Carroll responded that the correct answers were provided by her and that they wanted to make the questions simple and easy to understand for survey takers. They also vetted these questions with campus stakeholders for efficacy in presentation. She noted that the responses identify the different lenses people have when looking at this issue. She added that the data was interpreted in many different forms, such as broken down by years in school, student group affiliation, gender, etc.

Goodman thanked Carroll for her presentation.

**PCC Proposal to Establish a Ph.D. in Environmental Health Sciences (Senate Doc. No. 16-17-17) (Action)**

Andrew Harris, Chair of the Programs, Curricula, & Courses (PCC) Committee, presented the PCC Proposal to Establish a Ph.D. in Environmental Health Sciences and provided background information.

Goodman opened the floor to discussion of the proposal; hearing none, Goodman called for a vote on the proposal. The result was 91 in favor, 4 opposed, and 2 abstentions. **The motion to approve the proposal passed.**

**PCC Proposal to Establish a Master of Science in Environmental Health Sciences (Senate Doc. No. 16-17-18) (Action)**

Andrew Harris, Chair of the Programs, Curricula, & Courses (PCC) Committee, presented the PCC Proposal to Establish a Master of Science in Environmental Health Sciences and provided background information.

Goodman opened the floor to discussion of the proposal; hearing none, Goodman called for a vote on the proposal. The result was 91 in favor, 3 opposed, and 0 abstentions. **The motion to approve the proposal passed.**

**PCC Proposal to Establish a Post-Baccalaureate Certificate in Computer Networking (Senate Doc. No. 16-17-16) (Action)**

Andrew Harris, Chair of the Programs, Curricula, & Courses (PCC) Committee, presented the PCC Proposal to Establish a Post-Baccalaureate Certificate in Computer Networking and provided background information.

Goodman opened the floor to discussion of the proposal; hearing none, Goodman called for a vote on the proposal. The result was 90 in favor, 4 opposed, and 5 abstentions. **The motion to approve the proposal passed.**

**PCC Proposal to Establish a Master of Quantitative Finance (Senate Doc. No. 16-17-19) (Action)**

Andrew Harris, Chair of the Programs, Curricula, & Courses (PCC) Committee, presented the PCC Proposal to Establish a Master of Quantitative Finance and provided background information.

Goodman opened the floor to discussion of the proposal; hearing none, Goodman called for a vote on the proposal. The result was 86 in favor, 7 opposed, and 3 abstentions. **The motion to approve the proposal passed.**

**New Business**

There was no new business.

**Adjournment**

Chair Goodman adjourned the meeting at 4:32 p.m. and announced that the town hall on restricted research hosted by Dean Marzullo, College of Information Studies and Chair of the Restricted Research Subcommittee of the Research Council, would take place next.



## University Senate TRANSMITTAL FORM

<b>Senate Document #:</b>	16-17-21
<b>PCC ID #:</b>	16020
<b>Title:</b>	Establish a Post-Baccalaureate Certificate in Nonprofit Management and Leadership
<b>Presenter:</b>	Andrew Harris, Chair, Senate Programs, Curricula, and Courses Committee
<b>Date of SEC Review:</b>	November 15, 2016
<b>Date of Senate Review:</b>	December 6, 2016
<b>Voting (highlight one):</b>	<ol style="list-style-type: none"> <li>1. On resolutions or recommendations one by one, or</li> <li>2. In a single vote</li> <li>3. To endorse entire report</li> </ol>
<b>Statement of Issue:</b>	<p>The School of Public Policy proposes to establish a 12-credit Post-Baccalaureate Certificate in Nonprofit Management and Leadership. This program is designed to provide skills and experiences to enable students to delve deeply into the complex challenges facing nonprofit leaders. The program will equip students to be able to formulate innovative strategies, negotiate the complexities of global philanthropy and non-governmental organization (NGO) leadership, develop entrepreneurial skills, engage in major international issues in the developing world, employ their skills to advance the work of nonprofit organizations, and make a significant difference around the globe.</p> <p>Requirements for the certificate program include four courses. PUA6798Y Nonprofit and NGO Management and Leadership and PUA6688F Nonprofit Financial Management will be required. Students then would take two more courses from a list of eight courses:</p> <ul style="list-style-type: none"> <li>• PUA6689Y Theory, Practice and New Paradigms in Nonprofit Fundraising</li> <li>• PUA6689Z Strategic Management for Nonprofit and Public Organizations</li> <li>• PUA6689P Strategic Philanthropy</li> <li>• PUA6688O China's Dynamic Philanthropic and Social</li> </ul>



	<p>Sector Landscape</p> <ul style="list-style-type: none"> <li>• PUA790 India’s Evolving Social Sector and Consulting with its NGOs and Social Enterprises</li> <li>• PUA689W Networks: Leading ad Managing Across Sector</li> <li>• PUA689D Social Entrepreneurship</li> <li>• Any new philanthropy, nonprofit, or NGO management and leadership courses that may be developed an approved by the faculty.</li> </ul> <p>These courses already exist and are offered as electives within the Master of Public Management program. This program will be self-supported. Tuition from the program will cover the costs of operation.</p> <p>This proposal was approved by the Graduate School Programs, Curricula, and Courses committee on October 21, 2016, and was approved by the Senate Programs, Curricula, and Courses committee on November, 2016.</p>
<b>Relevant Policy # &amp; URL:</b>	N/A
<b>Recommendation:</b>	The Senate Committee on Programs, Curricula, and Courses recommends that the Senate approve this new certificate program.
<b>Committee Work:</b>	The committee considered this proposal at its meeting on November 4, 2016. Tom Kennedy and Robert Grimm (via phone), from the School of Public Policy, presented the proposal. The proposal was unanimously approved by the committee.
<b>Alternatives:</b>	The Senate could decline to approve this new certificate program.
<b>Risks:</b>	If the Senate declines to approve this certificate program, the university will lose an opportunity to expand its educational reach in nonprofit leadership.
<b>Financial Implications:</b>	There are no significant financial implications with this proposal.
<b>Further Approvals Required:</b>	If the Senate approves this proposal, it would still require further approval by the President, the Chancellor, and the Maryland Higher Education Commission.

**THE UNIVERSITY OF MARYLAND, COLLEGE PARK  
PROGRAM/CURRICULUM/UNIT PROPOSAL**

PCC LOG NO.

16020

**College/School:** School of Public Policy -- PUAUF

College/School Unit Code-First 8 digits:       **01203700**

**Department/Program:**

Department/Program Unit Code-Last 7 digits:   **1370101**

**Type of Action (choose one):**

Curriculum change (including informal specializations)	X <i>New academic degree/award program</i>
<i>Renaming of program or formal Area of Concentration</i>	New Professional Studies award iteration
<i>Addition/deletion of formal Area of Concentration</i>	New Minor
<i>Suspend/delete program</i>	Other

**Summary of Proposed Action:**

The School of Public Policy proposes to create a new graduate certificate program in Nonprofit Management and Leadership. The certificate program will be a four-course (twelve-credit) program of study. The program is expected to draw students from non-profit organizations, including from foundations and their grantees. The curriculum will provide a targeted focus on the non-profit management and leadership aspects of the School of Public Policy's current Master of Public Management curriculum.

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APPROVAL SIGNATURES - Please print name, sign, and date. Use additional lines for multi-unit programs.

1. Department Committee Chair
2. Department Chair       Robert C. Orr       Robert C. Orr       9/20/16
3. College/School PCC Chair   Philip G. Joyce       Philip G. Joyce       9/20/16
4. Dean       Robert C. Orr       Robert C. Orr       9/20/16
5. Dean of the Graduate School (if required)
6. Chair, Senate PCC   Andrew Harris       Andrew Harris       11/4/16
7. University Senate Chair (if required)
8. Senior Vice President and Provost

## **I. Mission**

In an attempt to broaden existing offerings at the Maryland School of Public Policy (“MSPP” or “the School”) to more effectively address current nonprofit sector needs, the School proposes to create a Graduate Certificate in Nonprofit Management and Leadership, derived in part from the existing Master of Public Management degree program.

## **II. Characteristics of the Proposed Program**

### **1. Educational Objective**

The objective of the graduate certificate program in Nonprofit Management and Leadership is to develop students’ proficiency in a uniform set of leadership and managerial competencies and skills that leaders and managers of resources for the public good should possess.

### **2. Brief Catalog Description**

The proposed Nonprofit Management and Leadership Certificate is a four-course (twelve-credit) graduate certificate program that is designed to provide invaluable skills and experiences to enable students to delve deeply into the complex challenges facing nonprofit leaders. The certificate will equip students to be able to formulate innovative strategies, negotiate the complexities of global philanthropy and NGO leadership, develop entrepreneurial skills, engage in major international issues in the developing world, employ their skills to advance the work of nonprofit organizations, and make a significant difference around the globe.

### **3. General Requirements for the Certificate**

The proposed graduate certificate program will consist of four, three-credit courses (12 graduate academic credits). The program is intended to be offered both on an individual and cohort basis. There is no thesis option for the program. The courses will all be offered by MSPP and the certificate will not rely on any courses provided through any other academic units. To earn their certificate, students would:

Complete the following two, three-credit courses:

1. PUA798Y Nonprofit and NGO Management and Leadership
2. PUA688F Nonprofit Financial Management

And complete two of the following three-credit courses:

1. PUA689Y Theory, Practice, and New Paradigms in Nonprofit Fundraising
2. PUA689Z Strategic Management for Nonprofit and Public Organizations
3. PUA689P Strategic Philanthropy
4. PUA 688O China's Dynamic Philanthropic and Social Sector Landscape

5. PUAF 790 India's Evolving Social Sector and Consulting with its NGOs and Social Enterprises
6. PUAF 698W Networks: Leading and Managing Across Sector
7. PUAF689D Social Entrepreneurship
8. Any new philanthropy, nonprofit and NGO management and leadership courses that may be developed and approved by faculty, which could include Nonprofit Program Evaluation and NGO specific management courses.

When offered on an individual basis, students would be able to select electives that best address their individual learning objectives. When cohort-driven, the two electives would be determined cohort-wide rather than individually.

#### 4. Course Titles, Numbers, and Descriptions

These two courses in the certificate program would be required:

1. PUAF798Y Nonprofit and NGO Management and Leadership  
Nonprofit organizations, nongovernmental organizations (NGOs), philanthropy, civil society and social entrepreneurs are major players in how public policy gets developed and implemented as well as how change occurs in the United States as well as countries around the world. In the United States alone, the nonprofit sector encompasses over a million organizations and annually reports trillions of dollars in revenue and assets. The nonprofit sector is so heavily intertwined with the public sector that government executives will find themselves interacting and partnering with nonprofits on a regular basis. Through discussions of contemporary trends, challenges and issues, this course provides an introduction to the nonprofit sector and the leadership and management skills required to achieve social impact.
2. PUAF 689Y Nonprofit Financial Management  
This course covers the fundamentals of accounting and financial management for public and nonprofit organizations. Through course readings, case studies and short assignments, students will learn how to understand and use public sector financial information to inform decision-making. The first half of the course will focus on: operating budgets, cash budgets, tools for evaluating capital budgeting decisions and an introduction to accounting principles. Topics in the second half of the course include financial reporting, financial condition analysis and unique aspects of accounting for public and not-for profit organizations. Along the way, students will gain familiarity with spreadsheet applications and financial calculations. By the end of the course, students should be able to read and interpret financial information and perform straightforward financial analyses.

Two required elective courses would be chosen from the list below (or new approved courses).

1. PUAF689Y Theory, Practice, and New Paradigms in Nonprofit Fundraising  
This course, stemming from the disciplines of economics, psychology, and sociology, explores the theoretical understandings of fundraising for nonprofit organizations and how they are applied to the practice of raising voluntary support. Students will also investigate different theoretical paradigms in which scholars and scholar-practitioners can approach the study and practice of fundraising. Additionally, students will discover the fundraising theories and practices that engage communities across diverse social identities including, communities of color, LGBT, and gender.
2. PUAF689Z Strategic Management for Non-Profit and Public Organizations  
Serving as a successful leader for a nonprofit or public organization of any kind requires an understanding of the strategic management process and a well-developed and managed strategy is a key to an organization's performance. This course provides an integrated approach to leadership theories and concepts, research, and modern practices related to strategic planning and execution. Leading strategy approaches will be discussed and students will gain a deep understanding of how strategy can be effectively developed, implemented, and managed in these organizations. The course will be relevant for students who want to work for and/or consult with nonprofit and government organizations.
3. PUAF689P Strategic Philanthropy  
This course explores different schools of thought related to strategic philanthropy: defined as privately funded ventures designed to achieve social outcomes, spur innovation, and/or shape public policy. We examine the development of and challenges related to strategic philanthropy, its relationships to the government and business sectors as well as the successful skills and approaches of leaders and organizations engaged in strategic philanthropy. Other course topics include designing competitions from traditional request for proposals grant making to prize competitions, portfolio and risk management, grantee engagement, and grantee and program evaluation. We will also examine efforts to translate various philanthropic approaches to the public sector, governmental grant making, and traditional and emerging partnerships and collaborations between strategic philanthropy and government.
4. PUAF 688O China's Dynamic Philanthropic and Social Sector Landscape  
China's philanthropic and social sector are in the midst of rapid development and transformation. China's complex economy boasts great wealth and opportunity, but also faces critical challenges such as poverty, environmental degradation, rapid urbanization and vast internal migration. From this dynamic and distinctive landscape emerge

innovative, complex, entrepreneurial and sometimes contradictory approaches from philanthropic, governmental and business leaders.

5. PUAF 790 India's Evolving Social Sector and Consulting with its NGOs and Social Enterprises

In partnership with the Grameen Foundation, this abroad course provides students an opportunity to work closely with, and accelerate the progress of, a leading nongovernmental organization (NGO) in India. Through this program, students will have the chance to apply their newly-developed political, financial, economic, quantitative, ethical, analytical and/or communication skills to real issues in service to actual clients in the social sector. All students will practice their skills in very concrete ways including: developing useful recommendations for decision-makers; proposing new or modified practices or policies for NGOs; exploring and adapting best practices; conducting program evaluations; proposing new fundraising plans and other work associated with the successful operation of leading NGOs in Delhi.

6. PUAF 698W: Networks: Leading and Managing Across Sector

This course views the concept of cross-sector governance and provides both theoretical understanding and practical grounding. It focuses on the roles and relationships of institutions in each of these sectors in pursuing public purposes such as emergency management, economic development, environmental protection, transportation, education, and human investment.

7. PUAF689D Social Entrepreneurship

This course is about using entrepreneurial skills to craft innovative responses to social problems. Entrepreneurs are particularly good at recognizing opportunities, exploring innovative approaches, mobilizing resources, managing risks, and building viable enterprises. These skills are just as valuable in the social sector as they are in business. Social entrepreneurship applies to both profit and non-profit firms who have programs designed to create social value.

5. Expected Student Learning Outcomes

*Learning Outcome 1:*

- Students will understand the principles and practices of nonprofit management.

*Learning Outcome 2:*

- Students will understand how the principles and practices of nonprofit management relate to the overall management of nonprofit organizations and programs designed to serve the public.

*Learning Outcome 3:*

- Students will be able to apply the tools and techniques related to leadership and management to address specific issues related to social entrepreneurship and

philanthropy.

These learning outcomes will be assessed by examining particular elements of student tests, papers, etc. throughout the four courses of the certificate.

6. Faculty Who Will Teach in the Program

The program will utilize MSPP's nationally renowned, resident practitioner-scholars as well as adjunct faculty who are preeminent in the field. Permanent faculty members may teach these courses either as part of their regular teaching load or on an overload basis, depending on whether the students are in traditional classes or part of an entrepreneurial cohort. All faculty will be members of the Graduate Faculty and approved by the Dean of the Graduate School to teach.

Professor Robert Grimm, a nationally prominent scholar in philanthropy and nonprofit leadership, will oversee the certificate program as one of the offerings of the School's management, finance, and leadership program. The logistics of offering the program to cohorts on an entrepreneurial basis will be handled by the School's Executive Programs office. An advisory committee will be established consisting of: members of the School's permanent faculty including Professor of the Practice Kenneth Apfel and Endowed Associate Professor of Global Philanthropy and Nonprofit Leadership Dr. Angela Bies; and members of School staff including Executive Dean William Powers and Director of Executive Programs Thomas Kennedy.

MSPP faculty who will likely teach courses in the certificate program include:

- Kenneth Apfel, Professor of the Practice and Director, Management, Leadership, and Finance Program
- Dr. Angela Bies, Endowed Associate Professor of Global Philanthropy and Nonprofit Leadership
- Dr. Toby Egan, Associate Professor
- Dr. Betty Duke, Senior Lecturer
- Dr. Robert Grimm, Professor of the Practice and Director, Center for Philanthropy and Nonprofit Leadership
- Dr. Jennifer Littlefield, Associate Director, Center for Philanthropy and Nonprofit Leadership, Director, College Park Scholars Public Leadership Program, and Adjunct Lecturer
- Dr. Robert Sheehan, Academic Director, Executive MBA, Robert H. Smith School of Business and Affiliated Faculty, Center for Philanthropy and Nonprofit Leadership
- Dr. Travis St. Clair, Assistant Professor
- Susannah Washburn, Lecturer

7. New or updated facilities and equipment required

No new or updated facilities and equipment are required.

8. Student Audience

Through outreach to foundations and their grantees, the proposed certificate program in Nonprofit Management and Leadership will likely attract 20 or more students per year. The students in the certificate program will have to meet the standards of admission to the University. When organizations sponsor a cohort they would be invited to recommend participants to the certificate program, but the University will control all admissions decisions.

9. Means for Offering the Program

The certificate program may be conducted on-campus, at an off-campus site, or a combination of the two. Actual off-campus sites have not yet been determined. Standard University protocols for approval of off-campus sites will be followed should the occasion arise. The courses of the certificate program may also be delivered online. The certificate program will not be offered in cooperation with another institution. A non-traditional schedule (weekend and/or intensive course offerings) may be considered.

**III. Finances**

The tables below briefly describe the resources and expenditures for the proposed certificate program, assuming an on-campus, cohort-based entrepreneurial cohort. (Any qualified traditional students from degree programs outside the School who wish to pursue the certificate program through existing traditional sections will be allowed to do so on a space-available basis, and thus the School would accrue neither revenue nor expenses from their participation.) The Office of Executive Programs (“OEP”), an entrepreneurial self-support unit within the School, will market and administer the program when provided on a cohort-basis.

Students are likely to be a mix of resident and non-resident. It is proposed that tuition for all students participating in an entrepreneurial cohort be set at the School’s master’s non-resident tuition level. Student fellowships may be granted. The campus will receive the mandatory fees and the standard 15 percent of gross revenue. All other tuition revenue earned will accrue to the School of Public Policy. The figures below presume the School’s proposed FY16-17 tuition of \$1,731 per credit will be charged, and revenue and expenses will increase 3% per year.

RESOURCES					
Resource Categories	Year 1	Year 2	Year 3	Year 4	Year 5



1. Reallocated Funds	\$0	\$0	\$0	\$0	\$0
2. Tuition Revenue (a x b)	\$415,440	\$427,903	\$440,740	\$453,963	\$467,581
a. Number of Students	20	20	20	20	20
b. Tuition Rate (12 credits)	\$20,772	\$21,395	\$22,037	\$22,698	\$23,379
3. Mandatory Fee Revenue (a x c)	\$17,120	\$17,634	\$18,163	\$18,707	\$19,269
c. Fee Rate/Student/Year	\$856	\$882	\$908	\$935	\$963
4. Application Fee (a x 75)	\$1,500	\$1,545	\$1,591	\$1,639	\$1,688
5. Other Sources	\$0	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$455,708</b>	<b>\$469,379</b>	<b>\$483,459</b>	<b>\$497,962</b>	<b>\$512,900</b>

<b>EXPENDITURES</b>					
<b>Expenditure Categories</b>	<b>Year 1</b>	<b>Year 2</b>	<b>Year 3</b>	<b>Year 4</b>	<b>Year 5</b>
1. Total Faculty Expenses	\$83,750	\$86,263	\$88,850	\$91,516	\$94,262
a. Total Salary	\$70,000	\$72,100	\$74,263	\$76,491	\$78,786
b. Total Benefits	\$13,750	\$14,163	\$14,587	\$15,025	\$15,476
2. Total Staff Expenses	\$37,500	\$38,625	\$39,784	\$40,977	\$42,207
a. # FTE	0.5				
b. Total Salary	\$30,000	\$30,900	\$31,827	\$32,782	\$33,765
c. Total Benefits	\$7,500	\$7,725	\$7,957	\$8,195	\$8,441
3. Materials	\$5,000	\$5,150	\$5,305	\$5,464	\$5,628
4. Marketing	\$5,000	\$5,150	\$5,305	\$5,464	\$5,628
5. Textbooks	\$0	\$0	\$0	\$0	\$0
6. Mandatory Fees (pass through)	\$17,120	\$17,634	\$18,163	\$18,707	\$19,269
7. Application Fee (pass through)	\$1,500	\$1,545	\$1,591	\$1,639	\$1,688
8. Scholarships	\$140,000	\$144,200	\$148,526	\$152,982	\$157,571
9. University IDC at 15%	\$68,356	\$70,407	\$72,519	\$74,694	\$76,935
<b>TOTAL</b>	<b>\$358,226</b>	<b>\$368,974</b>	<b>\$380,043</b>	<b>\$391,443</b>	<b>\$403,188</b>

1. Faculty expenses assume an average cost for faculty salary ( $\$27,500 \times 2 = \$55,000$ ) plus benefits ( $\$6,875 \times 2 = \$13,750$ ) for two courses, plus adjunct faculty salary ( $\$7,500 \times 2 = \$15,000$ ) for two courses. No state-supported faculty will teach in the program as part of their regular workload.

2. Staff expenses assume 0.5 FTE salary plus benefits for the School's existing administrative staff. No new staff will need to be hired.

3. Scholarships of up to an average of \$7,000 per student may be offered if doing so is

financially feasible.



## University Senate TRANSMITTAL FORM

<b>Senate Document #:</b>	16-17-20
<b>Title:</b>	Nominations Committee Slate 2016-2017
<b>Presenter:</b>	Daniel Falvey, Chair, 2016-2017 Committee on Committees
<b>Date of SEC Review:</b>	November 15, 2016
<b>Date of Senate Review:</b>	December 6, 2016
<b>Voting (highlight one):</b>	<ol style="list-style-type: none"> <li>1. On resolutions or recommendations one by one, or</li> <li>2. In a single vote</li> <li>3. To endorse entire report</li> </ol>
<b>Statement of Issue:</b>	The University Senate Bylaws state, "By no later than the scheduled December meeting of the Senate, the Committee on Committees shall present to the Senate eight (8) nominees from among outgoing Senate members to serve on the Nominations Committee. The nominees shall include four (4) faculty members, one (1) exempt staff member, one (1) non-exempt staff member, one (1) graduate student, and one (1) undergraduate student. Further nominations shall not be accepted from the floor of the Senate. The Senate, as a body, shall approve the slate of nominees to serve on the Nominations Committee."
<b>Relevant Policy # &amp; URL:</b>	N/A
<b>Recommendation:</b>	The Committee on Committees recommends that the Senate approve the slate as presented.
<b>Committee Work:</b>	The Committee on Committees met on October 25, 2016, to discuss a process for soliciting nominations for the Senate Nominations Committee. The Senate Office had previously emailed the Outgoing Senators regarding the opportunity to serve on the Nominations Committee and received a few volunteers. The Committee on Committees discussed the volunteers at the meeting. Additional recruitment tasks were assigned. As required by the Bylaws, the committee assembled a total of eight nominees from among the Outgoing Senators to present to the Senate.
<b>Alternatives:</b>	The Senate can decide not to approve the slate.
<b>Risks:</b>	There are no related risks.
<b>Financial Implications:</b>	There are no financial implications.
<b>Further Approvals Required:</b>	Senate Approval.

## 2016-2017 Senate Nominations Committee Slate

<u>Name/Constituency</u>	<u>Department/Unit</u>	<u>College</u>	<u>Term</u>
<b>Non-Voting Ex-Officio</b>			
Daniel Falvey	Chem & Biochem / Senate Chair-Elect	CMNS	2017
<b>Faculty</b>			
Benjamin Kedem	Mathematics	CMNS	2017
Elizabeth Novara	Libraries	LIBR	2017
Michele Mason	English	ARHU	2017
Manel Lacorte	School of Languages, Literatures, & Cultures	ARHU	2017
<b>Exempt Staff</b>			
James Bond	Office of Student Conduct	VPSA	2017
<b>Non-Exempt Staff</b>			
Audrey Stewart	Facilities Management	VPAF	2017
<b>Graduate Student</b>			
Kimberley Hannah-Prater	Communications	ARHU	2017
<b>Undergraduate Student</b>			
Christian Knapp	Economics / Govt. & Politics	BSOS	2017



# A Discussion of Conflict of Interest

Philip DeShong

Chair of the UMCP Conflict of Interest Committee

and

Robert Dooling

Member, Conflict of Interest Committee

Presented at

UMCP Senate

6 December 2016

# Why Are We Here?

The point of COI training is to remind us that the university's objectivity and integrity in generating new knowledge is its most precious asset and must be protected at all costs.

# Why Are We Here?

- State Ethics Law defines conflicts of interest
- State gives exceptions to Universities for Research & Development under following conditions:
  - University develops COI Policies and Guidelines
  - University requires disclosure of any interest to a designated official – **at UMD this is the COI Committee!!**
  - This designated official determines what information must be disclosed and what restrictions must be imposed to manage, reduce or eliminate the COI
  - Management plans are approved by the President of the University

Universities and federal funding agencies  
have become entrepreneurial and  
have established “relationships” with  
commercial and non-profit entities

At UMCP, the stated goal is for the University  
to spin out 100 new companies based on  
UMCP intellectual property



PRECISION  
POLYOLEFINS, LLC



# Principles of the Land Grant University



- Educate Students
- Create and disseminate new knowledge

# Research Integrity in a University

“... scientific integrity is a principle of scientific thought that corresponds to a kind of utter honesty - a kind of leaning over backwards.....you should report everything that you think might make it invalid.....details that could throw doubt on your interpretation must be given...you must present all of the facts that disagree with it, as well as all that agree with your hypothesis...”

*Richard Feynman*  
(Physicist - Nobel Laureate)

# **What is a Conflict of Interest?**

## **Conflict of Interest (generic definition)**

A conflict of interest exists when an individual exploits, or appears to exploit, their position for personal gain or for the profit of a member of their immediate family or household.

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## “Legally Speaking”

The generic definition of a COI is often too simplistic to deal with COI in more complex environments involving university research. And it sometimes leads to erroneous and unhelpful conclusions such as, “...If no money changes hands, it can't be a conflict of interest...”

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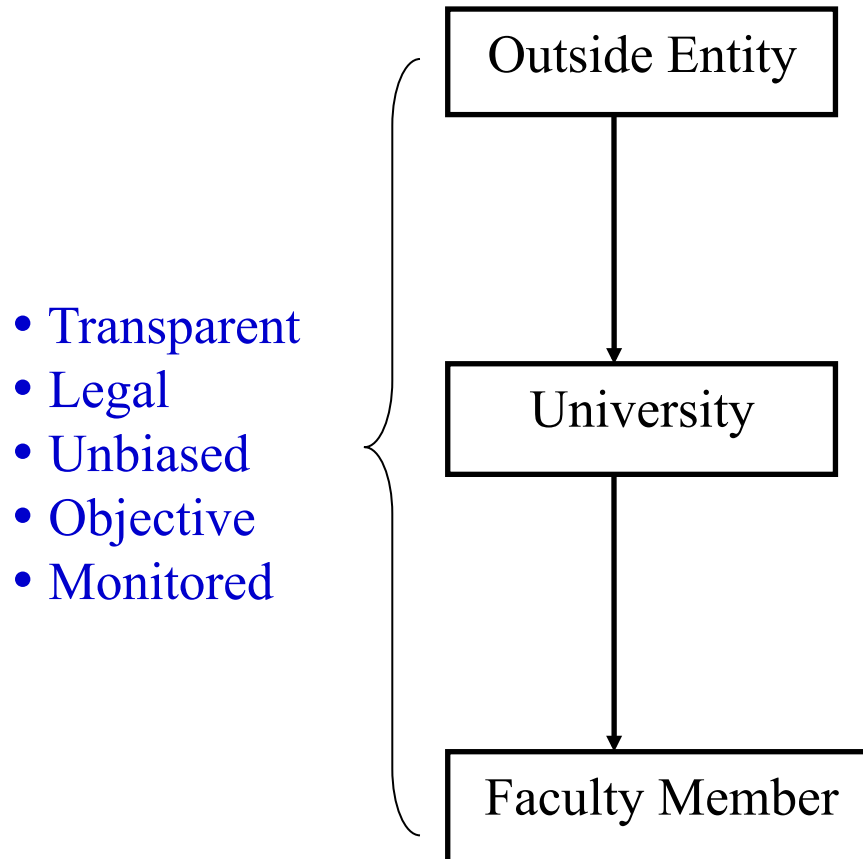
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## In University Research

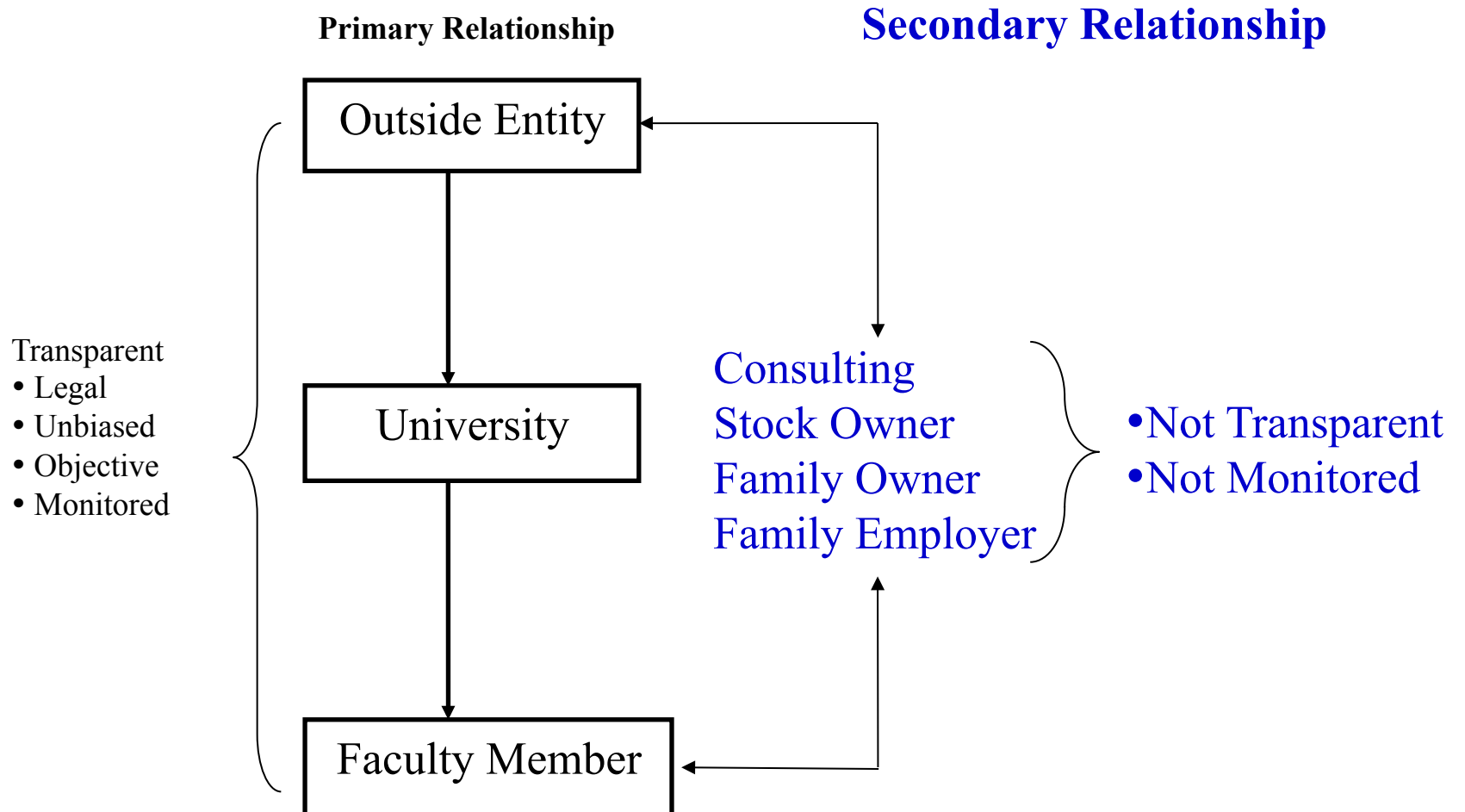
A conflict exists when a secondary interest provides an incentive for a researcher to make a judgment or determination in research that differs from what would be made in the absence of the secondary interest – i.e. a biased judgment or decision.

# Outside Entity - University - Employee Relationships

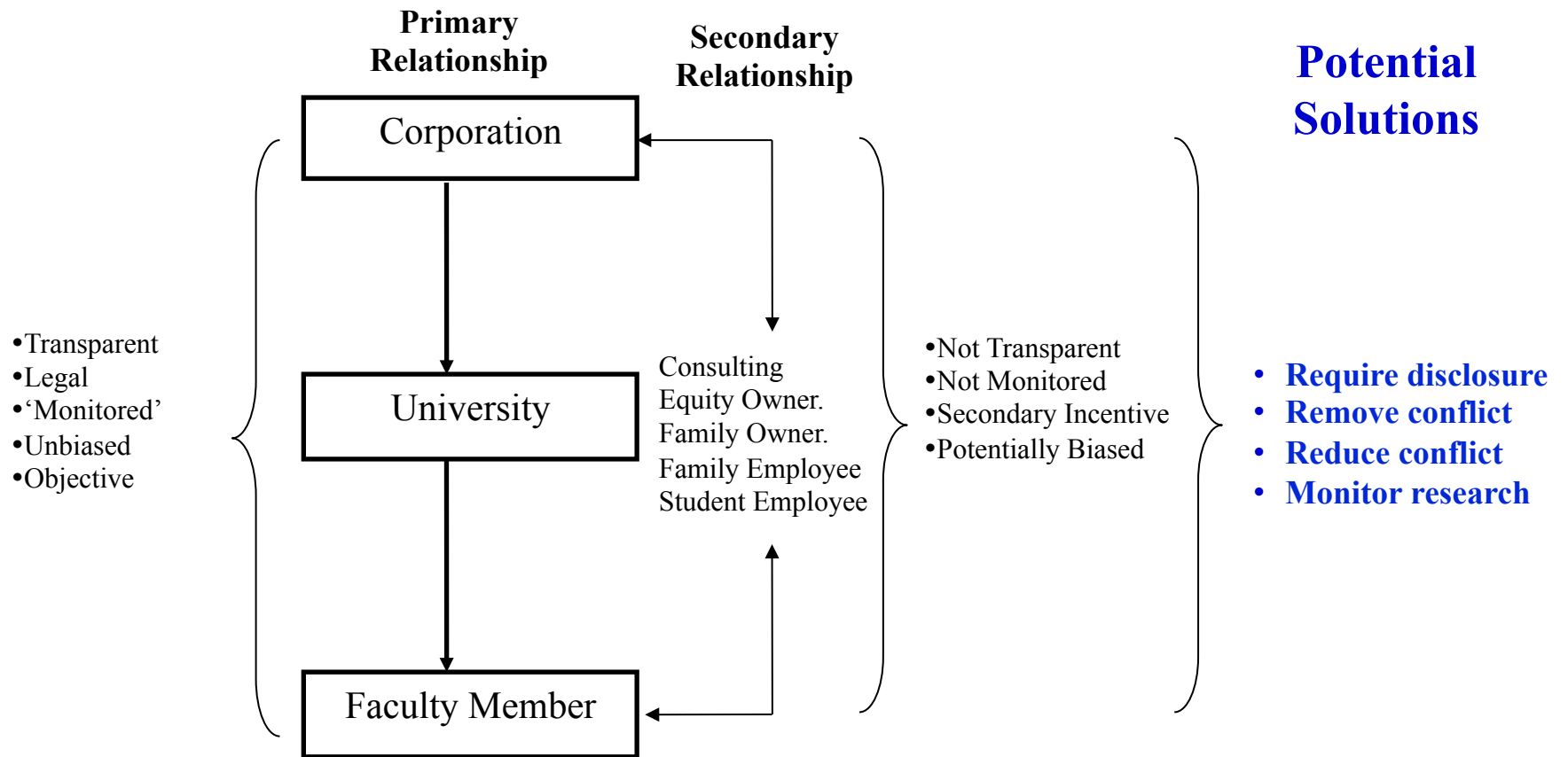
## Primary Relationship



# Outside Entity - University - Employee Relationships



# Outside Entity - University - Employee Relationships





# Conflict of Interest in University Research - Principles

- A conflict exists when a secondary interest provides an incentive for a researcher to make a judgment or determination in research that differs from what would be made in the absence of the secondary interest – i.e. a biased judgment or decision.
- A COI is most likely to occur when the university is involved with commercial entities.
- Minimizing a Research COI involves management strategies that either:
  - **Eliminate the incentive bias (e.g. give up equity)**
  - **Reduce incentive bias to an acceptably low level (e.g. restrict equity)**
  - **Reduce the consequences of the incentive bias (e.g. independent monitoring).**

# General Principles Regarding “Relationships”

These “secondary relationships” with outside entities may not:

- Lead to misuse of students, faculty, or employees
- Have the potential to skew or bias research findings
- Interfere with employee’s responsibilities
- Provide inappropriate resource allocations

# Analyzing for Research/Academic COI

- Identify the primary interest
  - Unbiased generation/dissemination of new knowledge
- Identify any secondary interest
  - e.g. Company ownership/equity, consulting
  - e.g. authorship of required textbook
- Manage the conflict
  - Eliminate the incentive bias (e.g. give up equity in entity)
  - Reduce incentive bias to an acceptably low level (e.g. restrict equity)
  - Reduce the consequences of the incentive bias (e.g. monitoring committee).

# Principles for Managing Conflicts

- Not possible to avoid all competing interests
- Potential conflicts are not necessarily ‘bad’
- Disclosure is always ‘good’

# Managing Conflicting Interests

- Requiring full disclosure of all interests so others are aware of potential conflicts and can act accordingly
- Monitoring the research or checking research results of someone having potential COI for accuracy and objectivity
- Removing the person with the conflict from crucial steps in the research process. These steps might include collecting data, interpretation of data, or participating in particular review decisions, etc.

# *Case Studies*

# Example and Management Plan

Professor Smith consults for a company that develops and sells products related to her research at the university. Because of the Professor Smith's expertise on this topic, the company decides to give her a grant through ORA to conduct research of interest to the company.

Is this a conflict of interest?

# Example and Management Plan

Professor Smith consults for a company that develops and sells products related to the her research at the university. Because of the Professor Smith's expertise on this topic, the company decides to give the her a grant to conduct research of interest to the company.

Why is this a conflict of interest? Accepting sponsored funding from a company for which one also consults, whether paid or unpaid, is considered a conflict of interest because the secondary interest in *maintaining the consulting relationship may influence objectivity in conducting the university's research.*



# Example and Management Plan

Why is this a conflict of interest? Accepting sponsored funding from a company for which one also consults, whether paid or unpaid, is considered a conflict of interest *because a secondary interest of maintaining the consulting relationship may prejudice the outcome of the research.*

What should the employee do? To protect research integrity, University policy prohibits investigators from receiving grants from entities for which they consult. Therefore, *the employee should disclose the conflict.*

In this instance, the COI Committee would require that the PI choose to either forgo the grant or terminate the consulting arrangement.

# Additional Case Studies

Situation: Professor Adams has a federally funded research program in sensing devices. As part of this program he develops a device that can measure glucose in blood.

- Acme Sensing Co. provides an unrestricted grant to pursue his studies.
- Acme Sensing Co. awards a contract to Dr. Adams lab to support his studies.
- After the contract from Acme has been running for one year, Acme asks Prof. Adams to become a member of the company's Scientific Advisory Committee.

# Additional Case Studies

- Professor Adams establishes a company, GlucoSensing, LLC., and licenses his lab's technology from the university.
- Professor Adams's sister establishes a company, GlucoSensing, Inc., and licenses the technology from the university.
- Professor Adams is the Director of the UMCP Center for Biomedical Studies. The Center is funded by a mixture of federal and state funding, and private endowment. While serving as Center Director, he establishes a company, GlucoSensing, Inc., and licenses his lab's technology from the university.

# Managing Conflicts

The COI Committee processed **468**  
COI disclosures during the 2014-2015  
academic year.

# *Thank You*

Joe Smith  
COI Compliance Officer  
5-0678

Philip DeShong  
Chair, COI Committee  
5-1892

# Full Disclosure

30. a.  yes  no **Conflict of Interest:** Is there a real or potential conflict of interest in connection with this work involving a University of Maryland employee, as defined by the University of Maryland Policies and Procedures II-3.10(A) or II-3.10(B) ([www.umresearch.umd.edu/RCO/COI/index.html](http://www.umresearch.umd.edu/RCO/COI/index.html)).  
If yes, a disclosure form must be completed and submitted in accordance with these procedures.
30. b.  yes  no **FCOI Mandatory Disclosure:** Is this a proposal to a PHS sponsor, PHS prime sponsor, or a sponsor/prime sponsor which follows PHS's Financial Conflict of Interest (FCOI) regulations??  
 yes  no If yes to 30b, have all individuals responsible for the design, conduct, or reporting of the research completed the IRBNet Financial Conflict of Interest in accordance with the University of Maryland Policies and Procedures on Financial Conflicts of Interest in Public Health Service Funded Research II-3.10(C)?  
For more information on FCOI, refer to: [www.umresearch.umd.edu/RCO/FCOI/index.html](http://www.umresearch.umd.edu/RCO/FCOI/index.html)

31. If proposal contains draft technical or other provisional materials and the PI will be responsible for submitting the proposal, PI is responsible for ensuring a copy of the final proposal as submitted to sponsor is provided simultaneously to ORA. All budget and cost sharing commitments must be finalized before the proposal is routed.

32. PI's signature below affirms that no changes in scope, budget, or institutional commitments will be made in the final proposal without first contacting ORA.

33. PI's & Co-PI's signatures below affirm:

- a) that the information submitted within the proposal is true, complete, and accurate to the best of the PI's & Co-PI's knowledge;
- b) that any false, fictitious, or fraudulent statements or claims may subject him/her to criminal, civil, or administrative penalties;
- c) that PIs and Co-PIs agree to accept responsibility for the conduct of the project and to follow any terms and conditions of any resulting agreement, including, but not limited to, providing required progress reports and adhering to any requirements regarding the handling of confidential information.

**Note: Proposal cover page must have space for signature of the University's authorized signature authority (Office**

# Management Plan: COI Disclosure Form

**UNIVERSITY OF MARYLAND  
CONFLICT OF INTEREST DISCLOSURE FORM**

---

**\*\*\*NOT FOR FCOI DISCLOSURE\*\*\***

This form is submitted for the purpose of obtaining an exemption from certain conflict of interest provisions of the Maryland Public Ethics Law, section 15-523 of the State Government Article of the Annotated Code of Maryland. I acknowledge that this form may be maintained as a public record at the University and, if approved, will be filed with the State Ethics Commission. I hereby state that the contents of this disclosure statement are true and correct to the best of my knowledge, information, and belief.

Philip DeShong \_\_\_\_\_ Chemistry & Biochemistry  
 Discloser Name \_\_\_\_\_ Department

Philip DeShong \_\_\_\_\_ **REVISED** \_\_\_\_\_ 17 June 2014  
 Signature \_\_\_\_\_ Date

5-1892 \_\_\_\_\_ deshong@umd.edu  
 Telephone \_\_\_\_\_ Email

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**ADMINISTRATIVE REVIEW**

Review by the Department Chair or Director and the Dean are required before this form is submitted to the COI Office for processing. The Department Chair is responsible for conducting the initial review of this disclosure and making the assessment below.

Based on the activity reported, to the best of my knowledge and in my judgment:

1. No real or potential conflict of interest exists.

2. A real or potential conflict of interest exists, as described in the "Summary of Real or Potential Conflict", page 2.

3. The management plan described on page 2 will permit the conflict to be managed in accordance with University policies and procedures.

\_\_\_\_\_  
 Department Chair's Signature Date 6/30-2014

\_\_\_\_\_  
 Dean's Signature Date 7/02/14

I concur with the above \_\_\_\_\_  
 I do not concur \_\_\_\_\_

# Management Plan: COI Disclosure Form

COI Disclosure form contains

- Summary of Real or Potential Conflict
- Mitigating Factors and Management Plan
- Ownership/Financial Interest
- Employment Interest
- Participation in the Research
- Selection of Subawardee



# Management Plan: Research Oversight Official

## Research Conducted Under COI or the Appearance of COI Conditions

This project entitled "Surfactant nanoparticles: a novel vaccine delivery system with unque stability and immunostimulatory potential" funded by Maryland Innovation Initiative has been identified as research involving a conflict of interest or the appearance of a conflict of interest. The University takes very seriously its role in ensuring that its research and scholarship is conducted with the highest integrity and is protected from influences which could lead to bias, or the appearance of bias, in the collection or interpretation of data, or publication of research results. The conflict of interest in this case cannot be eliminated with the usual procedures. Therefore, the following management plan will be implemented: Professor Daniel Falvey (Department of Chemistry & Biochemistry) will provide oversight of all the research involved with regard to the conflict of interest issues listed above for the period of time July 1, 2014 to April 30, 2017.

It should be understood that the management plan implemented for this case is unique to this case and does not imply that a similar plan will apply in future conflict of interest cases. The COI Committee decides each case on its own merits which include, among other things, considerations of the nature of the research, the potential risk to the University, and the benefits to public welfare.

Oversight Official:            Name: Daniel Falvey            Date: July 1, 2014

Signature: \_\_\_\_\_

Individuals with COI:        Name: Philip R. DeShong        Date: \_\_\_\_\_

Signature: \_\_\_\_\_

# Management Plan: Cohort Disclosure Form

Grant #:

Grant Title: "Functionalized Cationic Surfactant Vesicles (FCSVs): A Platform for Vaccine Development."

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This document is to inform you of a potential conflict of interest regarding the Principal Investigator, Dr. Philip DeShong. Dr. DeShong is the Principal Investigator on the award and has a conflict of interest due to his ownership of SD Nanosciences. Dr. DeShong co-founded SD Nanosciences and currently serves as its President. SD Nanosciences has licensed the technology from the University of Maryland, College Park.

To further mitigate any potential conflict of interest, Professor Daniel Falvey of the Department of Chemistry & Biochemistry has been appointed as the COI Supervising Official for this project. Professor Falvey will oversee both administrative and research aspects of this project. If you have any concerns or issues relating to the conflict of interest, please contact either Professor Falvey or Professor Janice Reutt-Robey, Chair, Department of Chemistry & Biochemistry.

If you have any questions or concerns regarding the potential conflict of interest, please contact Conflict of Interest Administrator, Joseph Smith at 301-405-0678.

Please print and sign your name below to acknowledge that you, as an employee on this grant, have been informed of the potential conflict of interest and the mitigation plan in place.

\_\_\_\_\_  
PRINT NAME

\_\_\_\_\_  
DATE

\_\_\_\_\_  
SIGN NAME

\_\_\_\_\_  
DATE

# **Research Conducted Under COI or the Appearance of COI Conditions**

This project entitled \_\_\_\_\_ funded by \_\_\_\_\_ has been identified as research involving a conflict of interest or the appearance of a conflict of interest. The University takes very seriously its role in ensuring that its research and scholarship is conducted with the highest integrity and is protected from influences which could lead to bias, or the appearance of bias, in the collection or interpretation of data, or publication of research results. The conflict of interest in this case cannot be eliminated with the usual procedures. Therefore, the following management plan will be implemented: Professor \_\_\_\_\_ will provide oversight of all the research involved with regard to the conflict of interest issues listed above for the period of time \_\_\_ to \_\_\_.

It should be understood that the management plan implemented for this case is unique to this case and does not imply that a similar plan will apply in future conflict of interest cases. The COI Committee decides each case on its own merits which include, among other things, considerations of the nature of the research, the potential risk to the University, and the benefits to public welfare.

Oversight Official:                      Name: \_\_\_\_\_                      Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Individuals with COI:                      Name: \_\_\_\_\_                      Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Others on Project:                      Name: \_\_\_\_\_                      Date: \_\_\_\_\_

Signature: \_\_\_\_\_