

**Technical Revisions to the University of Maryland Interim Policy on Full-Time Faculty Workload and Responsibilities (II-1.25[A])****TO Darryll J. Pines | President****FROM Rochelle Newman | Chair, University Senate**

I am pleased to forward the accompanying legislation for your consideration and approval. Peter B. Sunderland, Chair, Faculty Affairs Committee, presented the Technical Revisions to the University of Maryland Interim Policy on Full-Time Faculty Workload and Responsibilities (II-1.25[A])(Senate Document #22-23-18), which the University Senate Leadership approved. Please inform the Senate Leadership of your decision and any administrative action related to your conclusion.

Approved: _____**Date:** _____**Darryll J. Pines
President**

Copies of this approval and the accompanying legislation will be forwarded to:

Jennifer King Rice, Senior Vice President and Provost
Willie Brown, Interim Executive Secretary and Director, University Senate
Jen Gartner, Interim Vice President and General Counsel
Dylan Baker, Associate Vice President for Finance and Personnel
John Bertot, Associate Provost for Faculty Affairs
Elizabeth Beise, Associate Provost for Academic Planning & Programs
Rhonda Smith, Director, Division of Academic Affairs
Michele Eastman, Assistant President and Chief of Staff
Christopher Jarzynski, Chair-Elect, University Senate
Ellen D. Williams, Past Chair, University Senate
Peter B. Sunderland, Chair, Senate Faculty Affairs Committee



Technical Revisions to the University of Maryland Interim Policy on Full-Time Faculty Workload and Responsibilities (II-1.25[A])

PRESENTED BY Peter B. Sunderland, Chair

PRESENTED DATE November 28, 2022

VOTING METHOD N/A

RELEVANT POLICY/DOCUMENT [II-1.25\(A\) – University of Maryland Interim Policy on Full-Time Faculty Workload and Responsibilities](#)

NECESSARY APPROVALS Senate Leadership, President

ISSUE

The University Policy on Full-Time Faculty workload and Responsibilities was approved on March 4, 2022, on an interim basis pending Senate review. On October 17, 2022, the Senate Executive Committee charged the Faculty Affairs Committee with reviewing the policy to prepare for the Senate's consideration.

Currently, the policy provides that units must develop their initial faculty workload policies by or before December 1, 2022. The Faculty Affairs Committee has become aware that although several units are working to develop their policies, they are challenged with meeting the December 1, 2022 deadline. Additionally, the Office of Faculty Affairs is developing guidance to assist units with developing their policies. Given the additional guidance that is being developed and that the Senate consideration of the policy will not occur until the Spring 2023 semester, the Faculty Affairs Committee recommends that the policy be amended to allow units until the end of this academic year, May 23, 2023, to develop their initial workload policies. Additionally, the policy is revised to address small editorial matters.

Amending the date for the initial submission of full-time faculty workload policies and other edits are non-substantive and technical revisions. The proposed revisions will allow units to have sufficient time and guidance to develop their policies.

RECOMMENDATION

Revise the current University Policy on Full-Time Faculty Workload and Responsibilities to change the dates that the unit-level policies are due to May 23, 2023, and other edits as reflected in the attached redlined version of the policy.

COMMITTEE WORK

The committee is engaged in a comprehensive review of the University Policy on Full-Time Faculty Workload and Responsibilities.

ALTERNATIVES

N/A

RISKS

There are no risks to the University by making the recommended technical revisions.

FINANCIAL IMPLICATIONS

There are no known financial implications involved in the recommended technical revisions.



II-1.25(A) UNIVERSITY OF MARYLAND POLICY ON FULL-TIME FACULTY WORKLOAD AND RESPONSIBILITIES

(Approved by the President November 21, 1994; Amended and approved on an interim basis pending Senate review on March 4, 2022; [Technical revisions approved by the President on November XX, 2022](#))

I. PURPOSE

The University of Maryland's ("the University") mission is to achieve excellence in teaching, research, scholarship, creative activities, and public service. As the State's flagship University, and one of the country's first land grant institutions, the University seeks to educate students and advance knowledge in areas of importance to the State, the nation, and the world, and to be a preeminent national center for research, innovation, and graduate and undergraduate education. Taken together, basic and applied research, scholarship, creative activities, teaching, extension programming, librarianship, service, and administrative duties are important elements of faculty workload that enable the University to fulfill its mission. In order to ensure that faculty members meet their workload expectations and that the University complies with the University of System of Maryland (USM) Policy on Faculty Workload and Responsibilities (II-1.25), as amended on June 21, 2019, the University establishes the following Policy on Full-Time Faculty Workload and Responsibilities ("the Policy").

II. DEFINITIONS

- A. "Academic Unit" means a department, College, School, or other University entity in which a faculty member has an appointment with assigned teaching; research, scholarship, or creative activities; service; administration; librarianship; and/or extension responsibilities. Faculty members with joint appointments across academic units may have responsibilities in more than one unit.
- B. "Research Unit" means a unit such as a University-recognized center or institute in which the faculty member has an appointment with assigned research, administrative, or other responsibilities. Faculty members with joint appointments across Academic and Research Units may have responsibilities in more than one unit.
- C. "Unit" means either an Academic or Research Unit.
- D. "Unit Head" means a Department Chair, Dean, Director, or any University administrator who has a supervisory relationship to a faculty member in relation to determining, assigning, and reviewing faculty workload expectations.

III. APPLICABILITY

- A. This Policy applies to the following individuals:

1. All faculty holding tenured and tenure-track positions, as defined in section I.A.2-4 of the University of Maryland Policy and Procedures on Appointment, Promotion, and Tenure (APT) of Faculty (II-1.00[A]), except as noted below in section III.B; and,
 2. All faculty; who, while holding tenured or tenure-track faculty rank, are classified as administrators and perform their administrative duties at the level of an academic department or equivalent Academic Unit such as chairs, assistant chairs, and program directors.
- B. This Policy does not apply to:
1. Individuals who hold a tenured or tenure-track faculty rank and are assigned to administrative duties outside of their Academic Unit(s), including deans, vice presidents, presidents, associate provosts, associate deans, and directors of University recognized centers and institutes.
 2. Faculty holding permanent status and permanent status-track positions, as defined in I.E.1-4 of the University's APT policy.
 - a. The Libraries are directed to develop a faculty workload policy focused on their faculty workload areas and expectations by or before ~~December 1, 2022~~ May 23, 2023.
 3. Field faculty as defined in I.C.4-6 of the University's APT policy.
 - a. The University of Maryland Extension is directed to develop a faculty workload policy focused on its faculty workload areas and expectations by or before ~~December 1, 2022~~ May 23, 2023.
 4. Professional Track Faculty as defined in the University's Policy on Professional Track Faculty (II-1.00[G]).
 5. All part-time and adjunct faculty.
- C. Research Units shall establish minimum workload expectations for jointly appointed tenured and tenure-track faculty that are aligned with the missions of the University, College or School, and Research Unit.
1. Research Units must establish a review process that evaluates each tenured and tenure-track faculty member at least once every five (5) years.
 2. The standards for Research Unit appointments and reviews should be established at the onset of an appointment and in conjunction with the faculty member's tenure home unit.

IV. POLICY AND PROCEDURES

- A. Tenured and tenure-track faculty members may have workload responsibilities in the following broad areas: teaching; research, scholarship, or creative activities; and service. In addition, some faculty members may have administrative and/or extension responsibilities.
- B. Each Unit in which tenured and tenure-track faculty members are appointed shall establish, publish, and monitor a workload policy that sets forth fair and equitable guidelines that enable each Unit and/or program to best utilize its faculty members and align their efforts in accordance with this Policy, and in alignment with the missions of the University, College/School, and Unit.
- C. The established policies shall address expectations of tenured and tenure-track faculty members and give appropriate weight to the teaching; research, scholarship, or creative activities; service; administration; and extension responsibilities, if appropriate.
- D. The USM Policy on Faculty Workload and Responsibilities (II-1.25) sets standard workload expectations for tenured and tenure-track faculty members at research institutions. The University reports faculty workload annually to the USM based on the following expectations.
 - 1. Teaching percentage of total effort is approximately 50%;
 - 2. Research, scholarship, or creative activities percentage of total effort is approximately 40%; and
 - 3. Service percentage of total effort is approximately 10%.
- E. Tenured and tenure-track faculty are required to engage in assigned workload responsibilities in all three areas defined in IV.D.1-3. Specific workload assignments may be adjusted according to Unit-level and University policies and procedures (e.g., sabbatical leave, Leave without Pay, Family Medical Leave, and retirement agreements).
- F. The baseline teaching effort for full-time equivalent (100% FTE) tenured and tenure-track faculty members is five (5) course units per academic year. A course unit is normally defined as equivalent to a three-credit course.
 - 1. Units may adjust the baseline teaching expectation in their established workload policies by taking into account class size, credit hours produced, co-teaching, modality of instruction, level of instruction, disciplinary expectations, accreditation requirements, research efforts, advising, mentoring, and other

factors deemed relevant in determining faculty teaching expectations.

2. Partial course unit allocation is permissible for:
 - a. Dissertation and doctoral level individual studies (800-899), nine (9) credit hours is equivalent to one (1) course unit;
 - b. Masters thesis (799), 12 credit hours is equivalent to one (1) course unit;
 - c. Other graduate level individual studies (500-798), 18 credit hours is equivalent to one (1) course unit; and
 - d. Undergraduate level individual studies (100-499), 21 credit hours is equivalent to one (1) course unit.
3. Partial course unit allocation may count towards no more than two (2) units of instructional effort per faculty member per academic year.
4. Unit workload policies may consider whether course equivalents may accumulate over a period of time (e.g., two or three years).
5. Faculty instructional loads may be adjusted according to Unit-level and University policies and procedures (e.g., sabbatical leave, Leave without Pay, retirement agreements, service and administrative assignments). However, tenured and tenure-track faculty members must teach at least one instruction-based (i.e., non-thesis, dissertation, or independent study) course unit equivalent per academic year.
 - a. Exceptions to the minimum instructional load requirement must be approved by the Dean or designee in departmentalized Colleges or by the Senior Vice President and Provost or designee in non-departmentalized Colleges.
6. Unit workload policies should address whether course releases due to external fellowships, awards, and/or sponsored research (i.e., course buyouts) are permissible and should establish an appropriate buyout standard per course release.
7. Unit workload policies should address faculty member research and service expectations and consider the intersection of research, teaching, and service activities.
8. Faculty members are expected to meet workload expectations on an annual basis, but Unit policies may consider averaging faculty workload over a period of time (e.g., three years) in recognition of annual workload fluctuations (e.g., a higher instructional load in one year followed by a reduced instructional load in the next).

- G. In the case of joint appointments, assigned faculty workloads in each Unit should be proportional to the assigned FTE in the respective Units. Appointment agreements and/or memoranda of understanding between Units should reflect assigned faculty member workload in each Unit.
- H. Unit policies must expressly address how workload is ~~re-balanced~~rebalanced and/or steps taken when a faculty member:
 - 1. Is assigned and/or engaged in responsibilities or activities that advance the University's mission and operations (e.g., service to a University Unit, University strategic initiatives, curriculum redesign, externally funded research, leadership, or service);
 - 2. Expresses a desire to rebalance workload voluntarily (e.g., a faculty member wishes to engage in additional teaching and/or service in lieu of research activity);
or
 - 3. Is determined under periodic or post-tenure review not to have met Unit expectations in one or more areas of expected performance (e.g., research productivity, teaching, service).

V. IMPLEMENTATION, OVERSIGHT, AND COMPLIANCE

A. Responsibilities of Unit Heads

- 1. Each Unit Head is responsible for ensuring that faculty workload policy and guidelines are applied equitably, appropriately, and with transparency across the respective Unit.
- 2. Each Unit Head is responsible for ensuring that each faculty member within the Unit is in compliance with the stated faculty workload policy and guidelines.

B. Responsibilities of the Dean

- 1. Each Dean is responsible for ensuring that the faculty workload policy and guidelines are applied equitably, appropriately, and with transparency across the Units of the College or School.

C. Review and Approval of Workload Policies

- 1. Unit workload policies within departmentalized Colleges should receive the approval of the Dean.

2. Unit workload policies in non-departmentalized Colleges/Schools should be submitted to the Office of Faculty Affairs for review and approval.
3. The Libraries and Extension workload policies should receive the approval of the Deans of the Units.
4. Approved workload policies must be submitted to the Office of Faculty Affairs, which shall maintain a record of all approved workload policies.

D. Responsibilities of the Senior Vice President and Provost

1. The ultimate responsibility for ensuring faculty workload equity and accountability across the University lies with the Senior Vice President and Provost.
2. The Senior Vice President and Provost's Office is responsible for reporting faculty workload information to the USM.

E. Unit heads (or designees) in departmentalized Colleges shall prepare an annual summary report of assigned faculty member workloads in their Units for the Dean. In non-departmentalized Colleges, the Dean shall prepare the annual report. The report should be made available to all faculty in the Unit, preferably on the Unit's public website, intranet, or online dashboard.

F. Units must develop their initial faculty workload policies by or before ~~December 1, 2022~~ **May 23, 2023**. Units should review their policies at minimum every five years after initial approval as per the procedures established in section V.C above.

G. The Office of Faculty Affairs shall develop, review periodically (at intervals of no more than five years), and publish faculty workload guidance.